



SAN DIEGO HAZARDOUS INCIDENT RESPONSE TEAM



STANDARD OPERATING GUIDELINES

Fire Damaged or Reacting Lithium-ion Battery Management-Mobility/Small Devices

	ITEM	DESCRIPTION
<input type="checkbox"/>	FIRST REPONDER GUIDANCE	<p>Phone guidance for Fire personnel: In turnouts/SCBA and with proper gloves remove LIB devices to an outside area away from ignition sources and monitor temperature. Consider placing in rigid container with water to prevent thermal runaway. Presume any vapors are toxic and/or flammable. Do not seal container.</p> <p>If battery can be determined something other than Lithium Ion battery it can be packaged appropriately, neutralized if needed, and left to cool. Give guidance for disposal of such battery such as HHW or recyclers such as www.call2recycle.org. The following guidance document is intended for smaller equipment with Lithium ion batteries such as scooters, bikes and other similar size items. If battery heated but did not catch fire, consider allowing battery to cool (preferably in metal container) and follow the procedures for non-burned batteries.</p>
<input type="checkbox"/>	INITIAL SIZE UP	<ul style="list-style-type: none"> Identify if batteries are reacting or involved in a fire Obtain Emergency Response Plan and facility contact information. If possible, have a facility representative available for IC. Review Emergency Response Plan. If batteries are reacting but no fire is observed, explosive atmosphere is likely present Only approach building if explosive gases have been vented or mitigated Use 4-gas or combustible gas indicators to percentage in air. CO sensor is cross sensitive to H2 Don proper PPE- Fire Turnouts with SCBA for suppression, overhaul, mitigation Avoid contact with gasses generated from reacting battery
<input type="checkbox"/>	CHEMICAL INFORMATION (if available)	<ul style="list-style-type: none"> UN 3480 or 3481 DOT 9 Dangerous Goods Lithium Ferrous Phosphate Lithium Nickel Manganese Cobalt Oxide or similar Non LIB- Lead Acid, Zinc Bromide, Other if present in addition to LIBs
<input type="checkbox"/>	PROTECTIVE MEASURES	<p>ERG Guide 147</p> <ul style="list-style-type: none"> Initial 75' for fire at LIB with no LIB impact. LIB impact- ISOLATE for 500 meters (1/3 mile) in all directions; also, consider initial evacuations for 500 meters (1/3 mile) Consider wind direction changes
<input type="checkbox"/>	TACTICAL ACTIONS	<ul style="list-style-type: none"> Don proper PPE- Fire Turnouts with SCBA for suppression, overhaul, mitigation. Other PPE to be considered as needed, such as high temperature gloves or gloves to shield from battery charges. Guidance for on scene responders should include relocation of impacted batteries from inside of a structure to outside area away from combustibles, if safe to do so. Use TIC. Prepare monitoring equipment- TIC for reaction temps, 4-gas with CO and CGI for H2/flamm, pH paper, FI paper for HF. For smoke consider also RAE for Cl2 vapors, RAE or Draeger for HCl vapors

		<ul style="list-style-type: none"> Other Equipment needed- Battery removal tools, Bucket/Drum, Salt and water Take photos of the battery condition. Place the battery in the NaCl water away from combustibles. This process results in electrical discharge and multiple batteries in contact can result in re-ignition. Think of this as a neutralization operation and conduct additional monitoring as needed. Keep lid ajar or bung open and place in safe area away from combustibles and ignition sources. DO NOT SEAL LID TIGHT until the reaction is determined complete, AND the batteries are removed from the solution for recycling or disposal. Hydrogen/ gases needs to vent during de-energizing. Monitor temperature with TIC, mark date/time and temp on the container. Let battery sit for minimum 24 hours, per EPA recommended time is 3 days to 3 months. Smoke, steam, bubbles or detection of flammable vapor (H₂) readings can indicate that the battery is still reacting. H₂ is cross sensitive to Carbon Monoxide sensor and often results in CO alarm. Determine safe location for battery(s) to remain secured away from combustibles and ignition sources. If no safe location is available on site consider use of Hazardous Waste Transporter variance for legal transport to offsite location. The waste accumulation site should be a unified program permitted facility. A temporary site could include a facility such as a landfill or bomb range where there is ample space and area is controlled. Provide responsible party with hauler list and provide disposal guidance. For residential related incidents, after incident stabilization, buckets with batteries will be remain in possession of the responsible party for disposal with safe handling instructions and recommended website links for disposal assistance. If determined not safe to remain on site by IC/Hazmat Captain and DEHQ (no responsible party, no suitable location for overnight storage, concern over tampering with waste, any other public safety concern), the waste may need to be transported to an offsite location for continued monitoring and disposal. Refer to ERT, Hazardous waste variance and DOT transport requirements.
<input type="checkbox"/>	MITIGATION AND CONTAINMENT	<ul style="list-style-type: none"> Place batteries in a container of water in an isolated area. Ensure container is covered but do not seal lid. Ensure storage location is free from combustible material. Battery may need to be stored for up to 3 weeks at the location. Rapid Discharge: If rapid discharge of energy is required based on the incident, a 5% salt solution can be used. Mix Solid salt (e.g. Morton's) into container for approximately 5% NaCl s solution: 1.5 pounds to 3-4 gallons of water in 5 gallon bucket, fill to 3" from top after battery submersion. 12.5 pound salt bag for 25 gallons water (leave space for batteries in 30 gallon drum) 25 pound bags for 50 gallons water. The quantity of batteries and concentration of salt determine how long this reaction can continue upon immersion to de-energize. If possible, Disconnect the battery and Remove the battery from the equipment/device.
<input type="checkbox"/>	Disposal Guidance	<ul style="list-style-type: none"> The EPA and State DTSC normally consider Lithium Ion batteries a Universal Waste, however a fire can change the recyclability of batteries. After battery no longer poses a fire hazard and when ready for disposal, do the following: At Residence: Provide resident contact information for their local Household Hazardous Waste program to determine requirements for acceptance. Provide guidance to ensure safety during transportation (background temperature, Properly sealed container for waste with liquids, lid ajar if transporting solids only). Let them know that if HHW does not take it, they will need to contact a private hazardous waste hauler, may want to talk to their insurance provider. Provide list of HHW contacts and registered Haulers/recyclers list. Do not ever completely seal a lid onto the container of saltwater with LIBs. The batteries must be removed from the solution (or liquid drained from container) before a lid can be fully sealed tight for an extended period, for transport to a recycler or disposal facility.

		<ul style="list-style-type: none"> At Business: Provide business the hazardous waste haulers list and provide proper disposal guidance. Refer to DEHQ for follow-up. The business can be offered DEHQ test data for waste saltwater solution to assist with their waste determination. Testing conducted September 2022 indicated trace amounts of only copper, well below regulatory limits, pH not exceeding 9.2 and aquatic toxicity at >4800 mg/L. Fluoride salts were also below limits. The saltwater solution after one month was not a hazardous waste. Some pH testing has shown that near pH 12 can occur depending on how long the batteries are in salt solution.
<input type="checkbox"/>	ADDITIONAL RESOURCES	<ul style="list-style-type: none"> Fire EMS DTSC EPA
<input type="checkbox"/>	TECHNICAL REFERENCES	<ul style="list-style-type: none"> ERG Book or App CAMEO/ALOHA/Marplot & EPA AEGLs PEAC NIOSH/CalOSHA – for IDLH and/or PELs Safer Mobile CERES WISER
<input type="checkbox"/>	PERSONAL PROTECTIVE EQUIPMENT	<ul style="list-style-type: none"> Turnouts with SCBA
<input type="checkbox"/>	MONITORING & DETECTION	<ul style="list-style-type: none"> CGI – LEL can be compared to CO sensor. CO not maxing with LEL indicates non H2 flams Thermal Imaging Camera – above 250F may indicate thermal runaway/propagation potential Explor IR – carbonates/carbonic esters indicate battery electrolyte vapors. PID – An air sample can be captured for later use on GasMet to assist decon/exposures Area Raes – Remove H2S sensor if HCN used. VOC an indicator of failing batteries. pH paper – Acid or Base can indicate failed batteries HF paper – Instructions say to use HCl, however these can be wetted with water if worn on PPE
<input type="checkbox"/>	Off Site Storage	<ul style="list-style-type: none"> Batteries taken off site during immediate response for safety reasons Check the temperature with TIC. Mark date/time and temp on container. When waste is at ambient temperature and ready for disposal, pH the saltwater. Contact hazardous waste hauler or battery recycler, provide photos and documentation on temperature/pH. If waste hauler/recycler cannot accept batteries in water, verify pH is between 5 and 12.5. If you find the pH is rapidly increasing during the response you might want to add a weak acid (citric or vinegar) to buffer the pH. The waste is sewerable pending no EPA regulated heavy metals present (consult DEHQ). Previous sample results by EPA and DEHQ have determined this is not a corrosive waste or toxic waste due to heavy metals or aquatic toxicity. Take photos and send documentation information to your recycler/hauler for disposal/recycling. The hauler/recycler may require the batteries be placed into specific containment for transport, metal container with liner and cell block. You may have to separate the batteries from the liquid. City of San Diego Storage Yard: 2730 Murphy Canyon Rd San Diego Lock Code: 1255
<input type="checkbox"/>	DECONTAMINATION	<ul style="list-style-type: none"> Launder turnouts following NFPA 1851 Standards Liquid CO2 for heavily soiled turnouts (inside structure or in smoke plume) Disposal at expense of Responsible Party should be considered.
<input type="checkbox"/>	INCIDENT TERMINATION	<ul style="list-style-type: none"> Batteries are no longer reacting. TIC shows stable or decreasing temperatures. Enforcement ICS forms Inspection forms

Other Resources for Lithium Ion Battery Information and Guidance:

[Vehicle Fires IAFC response considerations](#)

[IAFC ESS Recommendations](#)

USDOT PHMSA: <https://www.phmsa.dot.gov/lithiumbatteries>

EPA: <https://www.epa.gov/recycle/used-lithium-ion-batteries>

Tesla: <https://www.tesla.com/firstresponders>

FEMA US Fire Administration: <https://www.usfa.fema.gov/blog/ig-042822.html>

FDNY Tactics & more: <https://www.nyc.gov/site/fdny/codes/reference/lithium-ion-battery-safety.page>

LA Fire Considerations: [EPA Analysis of lithium-ion-battery-fires](#)



EPA: EPA Analysis of
lithium-ion-battery-fir



CUPA Battery Reporting: upaag-battery-report
ing-guidance-for-upa:

CA Fire Codes: <https://up.codes/s/storage-of-lithium-ion-and-lithium-metal-batteries>

Consumer Guidance: <https://www.epa.gov/recycle/used-lithium-ion-batteries>

SOG- Fire Damaged or Reacting Lithium ion Battery Management-Mobility/Small Devices

Refer to Departmental SOGs for suppression and extermination of fire.

Consideration shall be given to allow batteries to burn out while protecting nearby structures.

Phone guidance for Fire personnel: In turnouts/SCBA and with proper gloves remove LIB devices to an outside area away from ignition sources and monitor temperature. Consider placing in rigid container with water to prevent thermal runaway. Presume any vapors are toxic and/or flammable. Do not seal container

If battery can be determined something other than Lithium Ion battery it can be packaged appropriately, neutralized if needed, and left to cool. Give guidance for disposal of such battery such as HHW or recyclers such as www.call2recycle.org. **The following guidance document is intended for smaller equipment with Lithium ion batteries such as scooters, bikes and other similar size items. If battery heated but did not catch fire, consider allowing battery to cool (preferably in metal container) without the use of the procedures below.**

Incident considerations for lithium ion batteries should include the following:

1. Don proper PPE- Fire Turnouts with SCBA for suppression. Other PPE to be considered as needed, such as high temperature gloves or gloves to shield from battery charges.
2. Guidance for on scene responders should include relocation of impacted batteries from inside of a structure to outside area away from combustibles, if safe to do so. Use TIC.
3. Prepare monitoring equipment- TIC for reaction temps, 4-gas with CO and CGI for H₂/flamm, pH paper, FI paper for HF. For smoke consider also RAE for Cl₂ vapors, RAE or Draeger for HCl vapors
4. Other Equipment needed- Battery removal tools, Bucket/Drum, Salt and water
5. **Rapid Discharge:** Mix Solid salt (e.g. Morton's) into container for approximately 20% NaCl solution:
 - **6 pounds to 3-4 gallons of water in 5 gallon bucket, fill to 3" from top after battery submersion.**
 - **50 pound salt bag for 25 gallons water (leave space for batteries in 30 gallon drum)****2 x 50 pound bags for 50 gallons water.**

The quantity of batteries and concentration of salt determine how long this reaction can continue upon immersion to de-energize. If possible, Disconnect the battery and Remove the battery from the equipment/device.
6. Take photos of the battery condition. Place the battery in the NaCl water away from combustibles. This process results in electrical discharge and multiple batteries in contact can result in re-ignition. Think of this as a neutralization operation and conduct additional monitoring as needed. Keep **lid ajar** or bung open and place in safe area away from combustibles and ignition sources. **DO NOT SEAL LID TIGHT until the reaction is determined complete, AND the batteries are removed from the solution for recycling or disposal. Hydrogen/ gases needs to vent during de-energizing.**
7. Monitor temperature with TIC, mark date/time and temp on the container. Let battery sit for minimum 24 hours, per EPA recommended time is 3 days to 3 months.
8. **Smoke, steam, bubbles or detection of flammable vapor (H₂) readings can indicate that the battery is still reacting. H₂ is cross sensitive to Carbon Monoxide sensor and often results in CO alarm.**
9. Determine safe location for battery(s) to remain secured away from combustibles and ignition sources. If no safe location is available on site consider use of Hazardous Waste Transporter variance for legal transport to offsite location. The waste accumulation site should be a unified program permitted facility. A temporary site could include a facility such as a landfill or bomb range where there is ample space and area is controlled.
10. Provide responsible party with hauler list and provide disposal guidance.

For residential related incidents, **after incident stabilization, buckets with batteries will be remain in possession of the responsible party for disposal with safe handling instructions and recommended website links for disposal assistance. If determined not safe to remain on site by IC/Hazmat Captain and DEHQ (no responsible party, no suitable location for overnight storage, concern over tampering with waste, any other public safety concern)**, the waste may need to be transported to an offsite location for continued monitoring and disposal. ***Refer to ERT, Hazardous waste variance and DOT transport requirements.***

When there is no RP or for County of San Diego properties DEHQ has Battery Solutions cell block and metal drums for damaged lithium batteries. There is one small container (3 gallon) and there are two larger ½ drum size containers at the garage.

Waste Management procedures for Lithium Ion batteries.

The EPA and State DTSC normally consider Lithium Ion batteries a Universal Waste, however a fire can change the recyclability of batteries. After battery no longer poses a fire hazard and when ready for disposal, do the following:

At Residence: Provide resident contact information for their local Household Hazardous Waste program to determine requirements for acceptance. Provide guidance to ensure safety during transportation (background temperature, Properly sealed container for waste with liquids, lid ajar if transporting solids only). Let them know that if HHW does not take it, they will need to contact a private hazardous waste hauler, may want to talk to their insurance provider. Provide list of HHW contacts and registered Haulers/recyclers list.

Do not ever completely seal a lid onto the container of saltwater with LIBs. The batteries must be removed from the solution (or liquid drained from container) before a lid can be fully sealed tight for an extended period, for transport to a recycler or disposal facility.

At Business: Provide business the hazardous waste haulers list and provide proper disposal guidance. Refer to DEHQ for follow-up. The business can be offered DEHQ test data for waste saltwater solution to assist with their waste determination. Testing conducted September 2022 indicated trace amounts of only copper, well below regulatory limits, pH not exceeding 9.2 and aquatic toxicity at >4800 mg/L. Fluoride salts were also below limits. The saltwater solution after one month was not a hazardous waste. Some pH testing has shown that near pH 12 can occur depending on how long the batteries are in salt solution.

Batteries taken off site during immediate response for safety reasons:

- 1) Check the temperature with TIC. Mark date/time and temp on container.
- 2) When waste is at ambient temperature and ready for disposal, pH the saltwater.
- 3) Contact hazardous waste hauler or battery recycler, provide photos and documentation on temperature/pH.
- 4) If waste hauler/recycler cannot accept batteries in water, verify pH is between 5 and 12.5. If you find the pH is rapidly increasing during the response you might want to add a weak acid (citric or vinegar) to buffer the pH. The waste is sewerable pending no EPA regulated heavy metals present (consult DEHQ). Previous sample results by EPA and DEHQ have determined this is not a corrosive waste or toxic waste due to heavy metals or aquatic toxicity.
- 5) Take photos and send documentation information to your recycler/hauler for disposal/recycling.
- 6) The hauler/recycler may require the batteries be placed into specific containment for transport, metal container with liner and cell block. You may have to separate the batteries from the liquid.

IAFC Guidance for Vehicle Battery Fires that can be applied to other Lithium ion battery device fires: Use a thermal imaging camera to ensure that the high voltage battery is completely cooled before leaving the incident. The battery must be **monitored** for at least one hour after it is found to be completely cooled. **Smoke or steam indicates that the battery is still heating.** IAFC recommends Do not release the vehicle to second responders, such as law enforcement and towing personnel, until there has been no heating detected for one hour.

Other Resources for Lithium Ion Battery Information and Guidance:

[Vehicle Fires IAFC response considerations](#)

[IAFC ESS Recommendations](#)

USDOT PHMSA: <https://www.phmsa.dot.gov/lithiumbatteries>

EPA: <https://www.epa.gov/recycle/used-lithium-ion-batteries>

Tesla: <https://www.tesla.com/firstresponders>

FEMA US Fire Administration: <https://www.usfa.fema.gov/blog/ig-042822.html>

FDNY Tactics & more: <https://www.nyc.gov/site/fdny/codes/reference/lithium-ion-battery-safety.page>

LA Fire Considerations: [EPA Analysis of lithium-ion-battery-fires](#)



EPA: [EPA Analysis of lithium-ion-battery-fires](#)



CUPA Battery Reporting: [upaag-battery-reporting-guidance-for-upa](#)

CA Fire Codes: <https://up.codes/s/storage-of-lithium-ion-and-lithium-metal-batteries>

Consumer Guidance: <https://www.epa.gov/recycle/used-lithium-ion-batteries>

Lithium-Ion Battery Disposal for Consumers

EPA recommendation: Find a location to recycle Li-ion batteries and products that contain Li-ion batteries using one of the suggested links; do not put them in the trash or municipal recycling bins.

Li-ion batteries in electronics: Send electronic devices containing Li-ion batteries to [certified electronics recyclers](#), [participating retailers and recyclers in electronics takeback services](#) or contact your local solid waste or household hazardous waste collection program for more options.

Li-ion batteries that are easily separated from the product (e.g., power tools): [Find a recycling location near you](#) to properly dispose of Li-ion batteries. Send individual batteries to specialized battery recyclers or retailers that are participating in takeback services or contact your local solid waste or household hazardous waste program for more options.

Two resources for finding a recycler are the [Earth 911 database](#) and [Call2Recycle](#).

Handling precautions: Place each battery or device containing a battery in a separate plastic bag. Place non-conductive tape (e.g., electrical tape) over the battery's terminals. If the Li-ion battery becomes damaged, contact the battery or device manufacturer for specific handling information. Even used batteries can have enough energy to injure or start fires. Not all batteries are removable or serviceable by the user. Heed battery and product markings regarding safety and use.

Due to DOT requirements the waste recycler/transporter may require the following:

1. Classify the Hazard - DDR

- 1.) Classify the
Determine if
(recyclable) or
(disposable).



Hazard.
Lithium Ion
Lithium Metal

- 2.) Contain the
Place the cell or battery in an
non-metallic packaging that
encloses the battery or cell,
bag or drum liner of sufficient
lift the battery without
liner. One battery/cell per
Surrounding the inner
with cushioning material that
combustible, electrically non-
and absorbent such as placing
into a HW drum. Some
prefer an inner poly drum be
that drum into a larger metal

2. Contain the Hazard – DDR



Hazard-
individual
completely
such as a
thickness to
tearing the
packaging.
packaging
is non-
conductive,
Cell Block
haulers may
used, then
drum.

Photos courtesy of Cascade Asset Management



- 3.) Communicate the Hazard: For EPA-DOT compliance for management of a waste battery, use Universal or Hazardous Waste label.

3. Communicate the Hazard - DDR

NOTE: If using DOT Special Permit packaging, the mark "DOT-SP" following by the permit number must be on the package



UNIVERSAL WASTE

per 40 CFR 273.14 and 273.34

DESCRIPTION UN3480 Lithium Ion Batteries
Damaged/Defective Lithium Ion Battery

GENERATOR INFORMATION: TELEPHONE 619-954-9028
NAME ER Incident, City of San Diego
ADDRESS 9366 Friars Road
CITY San Diego STATE CA ZIP 92108

ACCUMULATION
START DATE _____ DOCUMENT NO. _____

HANDLE WITH CARE!

FOR SERVICE CALL:

EPA recommends using the Universal Waste label during accumulation. Your Hazardous Waste hauler may determine the waste will be disposed and a Hazardous Waste label required, see next page for sample HW label

Here are some examples of proper labeling for transport of batteries:

Guide 1: UN3480 Proper Shipping Name: Lithium Ion Batteries. Hazard Class Label: Class 9 Lithium Battery

Guide 2: UN3480 Proper Shipping Name: Lithium ion batteries Hazard Class Label: N/A

Guide 3: UN3481 Proper Shipping Name: Lithium ion batteries packed with or contained in equipment Hazard Class Label: Class 9 Lithium Battery

Guide 4: UN3481 Proper Shipping Name: Lithium ion batteries packed with or contained in equipment Hazard Class Label: N/A

Guide 5: Proper Shipping Name: Lithium metal batteries Hazard Class Label: Class 9 Lithium Battery

Guide 6: Proper Shipping Name: Lithium metal batteries Hazard Class Label: Class 9 Lithium Battery

Guide 7: Proper Shipping Name: Lithium metal batteries packed with or contained in equipment Hazard Class Label: Class 9 Lithium Battery

Guide 8: Proper Shipping Name: Lithium metal batteries packed with or contained in equipment Hazard Class Label: N/A

Guide 9: Proper Shipping Name: Battery-powered vehicle Hazard Class Label: Class 9 Miscellaneous

Guide 10: Proper Shipping Name: Lithium batteries installed in cargo transport unit lithium ion batteries or lithium metal batteries Hazard Class Label: N/A (see Required Hazard Communication for additional details)

HAZARDOUS WASTE		
STATE & FEDERAL LAW PROHIBITS IMPROPER DISPOSAL IF FOUND, CONTACT THE NEAREST POLICE OR PUBLIC SAFETY AUTHORITY, OR THE U.S. ENVIRONMENTAL PROTECTION AGENCY OR THE CALIFORNIA DEPARTMENT OF TOXIC SUBSTANCES CONTROL.		
GENERATOR INFORMATION:		
NAME <u>City of San Diego Fire Department</u>		
ADDRESS <u>9366 Friars Road</u>		PHONE _____
CITY <u>San Diego</u>	STATE <u>CA</u>	ZIP <u>92108</u>
EPA ID NO. / MANIFEST TRACKING NO. _____ / _____		
EPA WASTE NO. _____	CA WASTE NO. _____	ACCUMULATION START DATE _____
CONTENTS, COMPOSITION: <u>Damaged Lithium Ion Battery</u>		
PHYSICAL STATE: <input checked="" type="checkbox"/> SOLID <input type="checkbox"/> LIQUID		
HAZARDOUS PROPERTIES: <input type="checkbox"/> FLAMMABLE <input type="checkbox"/> TOXIC <input type="checkbox"/> CORROSIVE <input type="checkbox"/> REACTIVITY <input checked="" type="checkbox"/> OTHER _____		
D.O.T. PROPER SHIPPING NAME <u>UN 3480, Lithium Ion Batteries</u>		
UN OR NA NO. _____		
HANDLE WITH CARE! CONTAINS HAZARDOUS OR TOXIC WASTES		

Additional Information for Battery Transportation

DOT HMR Requirements apply to most Lithium or Lithium ion batteries, exceptions for DDR sent for recycling and small batteries. Lithium Battery Guide numbers 1-10 for labeling require you know if battery is Lithium Ion (reusable) or Lithium Metal (disposable), whether or not the battery is still contained within equipment or removed from equipment and the size. Size is determined only by energy storage capabilities and is measured in Watt Hours for Lithium Ion batteries and in Grams for Lithium metal batteries.

Special Permits for Shipping Lithium Batteries: Some recyclers have Kits for DDR batteries that meet these requirements.

PHMSA has issued special permits for packaging designs meant to handle damaged, defective, or recalled batteries. A special permit allows a person to deviate from specific HMR requirements while maintaining an equivalent level of safety. A person applying for a special permit must demonstrate that the requested special permit achieves a level of safety at least equal to that required by the regulatory provision from which they seek a deviation [see § 107.105(d)]. A person who holds a special permit must comply with the special permit's requirements. The outside of each package authorized by a special permit is marked "DOTSP" followed by the special permit number assigned. Anyone can search for the special permit number on the PHMSA special permits search page using the special permit number.

Example DDR Kits



Disclaimer: images are examples of DOT Special Permit packaging and not an endorsement of any particular product or company

Pictured L-R: DOT-SP 20549, DOT-SP 20432, DOT-SP 20910



DDR Kits



Disclaimer: images are examples of DOT Special Permit packaging and not an endorsement of any particular product or company

Damaged, Defective, or Recalled (DDR) Lithium Battery*
Recycling Guidelines: DDR 4 Kit
(30-1450HAZ-SP) *Lithium batteries or devices powered by embedded lithium batteries

Battery Solutions

Kit Contents: Overpack, Metal Can, Metal Lid, Locking Ring, Bag of Vermiculite, Can Liner, Zip Bags, Special Permit Label, Lithium Label, Tape Strip, Zip Tie, Instructions

Unpack & Prepare

Step 1: Unpack the overpack box
 Carefully remove shrink-wrap and contents, including A.R.S. return shipping pouch. Overpack box will be reused to return batteries.

Step 2: Install liner & pour base layer
 Place provided liner into drum and pour in at least a 1/2-inch deep bed of provided vermiculite.

Step 3: Bag each DDR battery or device
 Bag and seal each DDR battery or device into one of the provided zip bags.

Step 4: Place bagged items into can/liner
 Place bagged DDR items into can with each completely surrounded by vermiculite on all sides.

Step 5: Fill drum
 Pour the remaining vermiculite into can liner up to the can rim. Discard any excess vermiculite.

Seal & Ship

Step 6: Seal liner
 Pinch and twist the open end of the liner and secure with the provided zip tie.

Step 7: Seal Can
 Place lid and locking ring onto can. Ensure ring is evenly seated. Tap ring evenly over lid with mallet or hammer. Properly sealed rings will remain on the can and not move when lifted.

Step 8: Pack box
 Place sealed can into the overpack box and seal with provided tape. Affix provided special permit and caution labels.

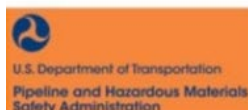
Step 9: Secure & ship
 Remove A.R.S. label from packing list pouch on outside of overpack box and affix over old shipping label (if applicable), covering it completely. Drop at your UPS location or arrange for pickup.

Additional Tips

- One battery or device per bag
- Total combined battery weight must not exceed 4.4 lbs.
- Packages containing damaged or defective lithium batteries are forbidden from air transport.

The performance certification of this package requires that it be filled, assembled, and used in full accordance with the instructions herein. The use of substitute components or packing methods, or failure to follow the supplied instructions may result in a package that is not compliant with this certification. Instructions valid until revoked or superseded. The special permit for transporting damaged, defective, or recalled lithium batteries is number 20331. A copy of the special permit is maintained at <https://www.dhs.gov/sites/default/files/2019/08/DOH-SP-20331.pdf>

Pictured: DOT-SP 20331



PHMSA: Your Safety is Our Mission



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Packages containing lithium batteries must have proper hazard communication. In general, packages containing lithium batteries shipped in accordance with the HMR require the Class 9 lithium battery label as found in [§ 172.447](#) and depicted in Figure 1.



Figure 1. Class 9 Lithium Battery Label

Training:

HAZMAT Training Requirements:

Who needs HAZMAT training?

The HMR impose training requirements that are generally applicable to any employee who prepares, packages, offers, or transports lithium batteries for recycling or disposal [see §§ 172.700 through 172.704]. Training requirements may not be applicable to shippers and carriers transporting qualifying shipments of “smaller” lithium batteries in accordance with § 173.185(c). 10

Which HAZMAT training is necessary?

HAZMAT training [§ 172.704(a)] includes the following components: • General awareness/familiarization. • Function-specific training. • Safety. • Security awareness. A training program from another Federal or state agency that includes these four HAZMAT components can fulfill the HMR training requirements [§ 172.704(a)]. More information on training requirements is available from the PHMSA website: <https://www.phmsa.dot.gov/training/hazmat/training-requirements-industry>.

Who is responsible for providing training?

Each entity that employs individuals who prepare, package, offer, or transport lithium batteries is responsible for: • Providing training for its employees who prepare, package, offer, or transport lithium batteries (hazmat employees). • Testing its hazmat employees. • Certifying its hazmat employees’ training. • Developing, maintaining, and retaining its hazmat employees’ training records. o Records must be kept for each hazmat employee for the following time frames: Three years from the date of the last training; and, 90 days after the hazmat employee has left the company.

Excerpts from the EPA-DOT training module:



april_29_2021_epa_and_dot_speaker_slide:

1. Classify the Hazard – Energy Capacity

- The energy capacity of the lithium battery is an important consideration – larger batteries and quantities are subject to increased regulation.

Thresholds:

Lithium Ion (Smaller Batteries)

- ≤ 100 Wh
- ≤ 300 Wh ground only*

Lithium Metal (Smaller Batteries)

- ≤ 2 g
- ≤ 25 g ground only*

* Additional hazard communication is required

1. Classify the Hazard – Energy Capacity



- Watt-hour (Wh)
= Ampere-hours (Ah) x Volts (V)
- In the case of milliamper hour (mAh),
divide by 1000

^ Under 100 Wh and qualifies for “Small” battery exception

2. Contain the Hazard – Inner Packaging



2. Contain the Hazard – Cushioning Material



2. Contain the Hazard – “Larger” Batteries and Quantities

Increased Regulation

- Batteries over 300 Wh rating (Lithium Ion) or 25 g (Lithium Metal)
- Packages over 66 lbs gross weight

UN Specification Packaging (ONLY Rail/Vessel)



1A2/X40/S/05
USA/0000

[49 CFR § 173.185\(b\)\(3\)](#)

3. Communicate the Hazard - Package



Other Resources for Lithium battery emergencies:

<https://www.epa.gov/recycle/used-lithium-ion-batteries>

<https://www.osha.gov/sites/default/files/publications/shib011819.pdf>

Lake Parkway Lithium Battery Fire, EPA Region 4 Hazmat Incident presentation

<https://www.ehs.washington.edu/system/files/resources/lithium-battery-safety.pdf>